



# Investment Advisor Recommendation Form

Fairfield County's Community Foundation offers donors contributing \$2,500,000 or more the opportunity to recommend a trusted investment advisor to manage donated assets through its Investment Advisor Program.

## Recommended Investment Advisor Information

Advisor Name \_\_\_\_\_ Title \_\_\_\_\_

Firm Name \_\_\_\_\_

Mailing Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

I understand that this recommendation is contingent upon Fairfield County's Community Foundation reviewing and approving the investment advisor which includes compliance with the Community Foundation's policies. To qualify as a completed charitable donation, all assets gifted to the Community Foundation are under the sole control and variance power of Fairfield County's Community Foundation. The investment advisor reports to the Community Foundation. The investment advisor cannot be related to the fund advisor(s) or donor establishing the fund or otherwise be a disqualified person as defined by the IRS.

Investment performance will be monitored quarterly by the Community Foundation's independent investment consultant, investment committee and staff. The Community Foundation may replace the investment advisor at its sole discretion, at any time and for any reason, including, but not limited to i) personnel or organizational changes, ii) substandard performance, iii) excessive fees, iv) deviation from terms of government policies and agreements, or v) conflicts of interest or questionable ethical behavior. Before replacing an advisor, appropriate due diligence will occur and the fund advisor(s) will be notified. Investment performance, volatility and expenses of the assets managed by the recommended investment advisor may not be comparable with investment options of the same investment objective offered by the community foundation.

Fund name \_\_\_\_\_

Fund advisor/donor signature \_\_\_\_\_ Date \_\_\_\_\_

Fund advisor/donor signature\* \_\_\_\_\_ Date \_\_\_\_\_

*\*For funds with multiple advisors*

Mail, fax, or scan and email to:  
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Email: JBaker@FCCFoundation.org  
Phone 203.750.3200