

Investment Advisor Program



A donor may recommend a customized investment approach through their personal investment advisor for new funds in our Investment Advisor Program. The donor benefits from the Foundation’s program expertise in reaching their philanthropic goals while the advisor continues to represent their client in managing their charitable assets.

Customization at this level would include traditional asset classes and, in some circumstances, alternative investments. While ownership transfers to Fairfield County’s Community Foundation, the assets will continue to be held at the outside advisor’s institution.

Fees

For administrative services including, but not limited to, professional advice and consultation regarding grantmaking, strategic philanthropic planning, in-depth knowledge of local charities, and access to online fund information including grant processing and fund statements, Fairfield County’s Community Foundation is entitled to an administrative fee of:

- 1.0% on the initial \$1 million assets
- 0.9% on incremental assets from \$1 to 1.99 million
- 0.8% on incremental assets from \$2 to 2.99 million
- (fee assessment decreases for funds \$3M+)

Minimum annual fee of \$1,000.

.10% fee discount for permanent funds.

\$500 grant minimum

The fee for investment services will be negotiated by the Foundation and the outside investment advisor and will be disclosed to the donor when the fund agreement is executed. All fees are charged at the fund level.

Individually managed accounts with balances below \$250,000 will be transferred to the foundation’s investment pool.

The Foundation’s Investment Committee must approve the advisor selection and proposed investment strategy, working together to establish an asset allocation that is appropriate to the grantmaking goals of the donor. Other policy guidelines are applicable.

“To feel completely comfortable with a financial partner is a great blessing. Fairfield County’s Community Foundation has earned my trust again and again with their local expertise and spot-on counsel.”

Eleanor Harrison Smith
Investment Advisor
Program Fundholder

To learn more about fund types and fee structures, contact Mike Rosen, Chief Revenue and Business Development Officer, at 203.750.3242 or MRosen@FCCFoundation.org.